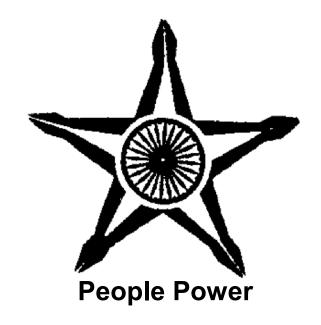
LOK SATTA



National seminar on "People Oriented Administrative Reforms"

Mumbai

4th November, 2000

Swarajya

The real Swaraj will come, not by the acquisition of authority by a few, but by the acquisition of the capacity by all to resist authority when abused

- Mahatma Gandhi

Crisis of Governance

- Criminalization of politics
- Money and muscle power in elections
- Over centralization
- Competitive populism
- Political instability
- Erosion of legitimacy of authority

- Increasing lawlessness
- Inefficient state apparatus
- Unresponsive bureaucracy
- Ineffective judicial system
- All pervasive corruption
- Marginalization of citizens

Key to Resolution

- Crisis encompasses all organs of state
 - Executive
 - Legislature
 - Judiciary
- Most players are victims of a vicious cycle
 - Politicians
 - Bureaucrats
 - Judiciary
 - Citizens

- Change in the rules of the game
- Not merely a change of players
- Focus on governance processes
- Not on contentious policy debates

Why is Governance Vital?

- Government spends Rs. 1600 crores every day
- Out of 27 million organised workers, government employs 70%
- Fiscal deficit (Union and States) has reached 10% GDP
- 50% Union tax revenues go toward interest payment

Is Money the Issue?

Sanitation

- 140 million toilets needed
- Cost: Rs 35000 crores
- Equals just 24 days' expenditure

School Education

- 1.2 million class rooms needed
- Cost: Rs 12,000 crores capital
- Rs 6,000 crores recurrent
- Equals 8 days expenditure capital
- 4 days expenditure recurrent

In a Sane Democracy

- Political process should resolve the crisis
- Parties, elections and public office are the route to reform
- In India a vicious cycle operates

Failure of political process

Parties

- Autocratic and unaccountable
- Repel the best
- A problem, not solution
- Choice Tweedledom & Tweedledee

Elections

- Change of players
- No change of rules of game
- Criminalization
- Money power
- Flawed process
 - Electoral rolls (40% errors)
 - Bogus voting (22%)

Dangerously stable equilibrium

Elections - a case study - Andhra Pradesh : 1999

Expenses of parties: Rs 600 crores

— Returns to Politicians :

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ROI
risk
Rs 3000 crores
future
personal
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- Actual extortion from citizens :
 - rent-seeking at every level: Rs 30,000 crore
 - harassment to ensure compliance ten times
- Conformity:
 - best option individually
 - worst option societally

Way Out

- People's sovereignty
- Fundamental democratic transformation

Can economic reforms deliver

- Smaller and more focussed government will help
- But government still has large role

Irreducible role of government

- Law and order
- Justice
- Rule of law
- School education
- Primary health care
- Basic infrastructure

Citizens' initiatives - Guiding principles

- Freedom
- Self-governance
- Empowerment
- Rule of law
- Self-correcting institutions

Need of the Hour

- Informed Citizenry with the capacity to
- resist mis-governance
- check corruption
- influence public discourse
- channelize resources
- enforce better services

All these require information

People's watch for public services

- Collective Lok Satta
- Informed People's Charter and training
- Assertion Specific Campaigns
 - Local
 - State -wide

Lok Satta's People's Charter

- Success of people's watch in AP
 - **Case Studies:**
 - Petrol bunks
 - Registration department

Government's response

Citizen's Charters

- APSEB
- APSRTC
- Transport Department
- Water Board
- Employment Exchanges
- Commercial Tax Department
- Registration Department
- MCH
- Municipalities

Half - hearted and inadequate. Still a first important step.

Election Watch

Verification of electoral rolls Why?

- —Ordinary Citizens easily understand it
- —Locally achievable
- —Law is perfect implementation defective
- Electoral rolls affect quality of elections & governance

Logistics

- Procedure Election Watch manual
- Electoral Rolls Supplied by Lok Satta
- Application forms supplied by Lok Satta

Results so far

• Estimated errors:

About 40% in urban areas

About 10% in rural areas

About 10 Lakh Corrections through Lok Satta efforts

6,00 000 additions

4,00,000 Deletions

Pre screening of candidates

- Legal position
- Criteria we adopted
- Election Watch Screening Committee
- Post Box No.100
- Public awareness campaign
- Communication to parties
- Cross-checking and screening
- Making lists public
- Local government draft Legislation
- Impact

Information lacunae - screening

- Government / Public records
- Police
- Ambiguity

Election Watch results

- High Credibility
 - —Narasaraopet
- Setting agenda
 - —Creminilasation
 - —Money power
 - —Electoral rolls
- Reduction in rigging
- Greater middle class participation
- Focus on governance goals
- Accountability to people.

Swarajya — Local goals

- Citizens's Charters
- Empowerment of Local governments
- Empowerment of Stakeholders
 - Parents (Schools)
 - water users (Irrigation)
 - Producers (markets)
 - Consumers (Fair Price shops)
- Right to information
- Rural courts for speedy justice
- Access to school education
- Toilets for every household

Successes in Swarajya

- Citizen's Charters
- A law empowering parents (school education committees)
- A law empowering water users (water users' societies)
- Toilets for every household as state policy
 (15 lakh toilets built over the last 2 years)
- Local government electoral reforms (partially accomplishes)

Local Governance

Taxes

── Services

Authority — Accountability

Why do people vote badly? Why don't people pay taxes?

Authority \rightleftharpoons Accountability

Freedom of Information Bill - 2000

• Defects:

- —Local governments ignored
- —Political parties not included
- —No penalties for noncompliance
- —No external appeal
- —No protection to whistle blowers.

Right to Information

Future Agenda:

- Electoral reforms
- —Local governance
- —Stake-holders empowerment
- —Subsidiarity
- —Accountability Instruments
- —Citizens Charters
- —Independent crime investigation
- Speedy justice through rural courts

Concern To Action

• Obstacles:

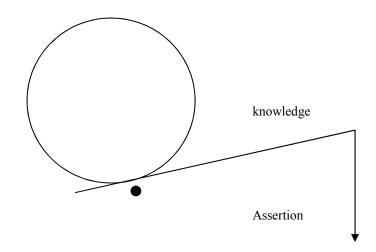
- Ignorance
- Fear
- Inhibition
- Lack of common platform

Requirements:

- —Trust
- —Sense of equality
- —Common fate
- —credible common platform
- Knowledge

Keys to action

- Day to Day personal relevance
- Tangible, easy action
- Precise knowledge guiding action
- Meticulous preparation
- Wide dissemination
- High Credibility



Citizenship

• Active:

Taxes \rightleftharpoons Services

Authority \rightleftharpoons Accountability

• Passive:

- Legal plunder
- Constitutional brigandage
- Anonymous tyranny

Window of Opportunity

- Impending fiscal collapse
- Citizens' disgust
- Unsustainable status-quo
- Relatively sound private economy
- Communications revolution
- Satellite television
- Rising expectations

Two paths to future

German example

- -enhancing
- Democratic
- Orderly
- Integrating

USSR Example

- —Tyrannical
- —Chaotic
- Disintegrating