

LOK SATTA
People Power

Role of Civil Servants and Their Neutrality
Workshop on Electoral Management and Administration
on 29th November, 2001, Mysore

Macro Perspective of Indian Polity

- Disaggregate volatility
- Broadly reflective of public opinion
- Ruling parties and powerful candidates do lose
- Rejection/Negative vote pretty common

Micro Perspective of Indian Polity

- Money power dominant
- Criminalization rampant
- Voting irregularities frequent
- People take money to vote
- Caste and divisive impulses are prominent

How is Democracy Surviving?

- A system of compensatory errors (competing distortions neutralize each other)
- Strength of Election Commission
- Tradition of Neutrality of Officials
- Pre-Polling process scrupulously fair (nominations, ballot papers, appointment of polling officials etc.)
- Post-polling process - completely non-partisan (transport, storage and counting of ballots and declaration of results)

What is Wrong with Elections?

- Polling Irregularities
- Autocratic Political Parties
- Money Power
- Criminalization

Polling Irregularities

- Serious flaws in voter rolls
 - **Sample survey** ▶ **40% errors in urban areas**
 - **State-wide survey** ▶ **15% errors in villages**
 - ▶ **> 40% errors in towns**
 - **21.7% possible fraudulent voting in cities**

Verification of Voters' Lists in Andhra Pradesh Survey of Rural Polling Stations

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Details of electoral rolls verified			Deletions Reqd (Errors of Commission)				Additions Reqd (Errors of Omission)				Variation		
District	No. of Polling Stns.	No. of Voters	Shifting	Death	Other	Total	% of voters	Attained 18 years of Age	By shifting	Total	% of voters	Total	% of voters
EG	4	1289	166	36		202	15.7	31	50	81	6.3	283	22.0
WG	2	2086	37	52		89	4.3	24	23	47	2.3	136	6.5
Krishna	1	416	27	13	1	41	9.9	12	3	15	3.6	56	13.5
Prakasam	2	1297	43	38	2	83	6.4	34	12	46	3.5	129	9.9
Nellore	4	3084	87	79	15	181	5.9	60	100	160	5.2	341	11.1
Chittoor	2	1762	143	37	90	270	15.3	47	110	157	8.9	427	24.2
Kurud	4	4648	488	71	1	560	12.0	82	128	210	4.5	770	16.6
Warangal	2	1399	51	15	4	70	5.0	7	12	19	1.4	89	6.4
Monrger	1	344	32	11		43	12.5	35	12	47	13.7	90	26.2
Karimnagar	5	3956	549	100	59	708	17.9	78	142	220	5.6	928	23.5
Khammam	2	2016	11	36	12	59	2.9	37	0	37	1.8	96	4.8
Rural Total	29	22297	1634	488	184	2306	10.34	447	592	1039	4.7	3345	15.10

Verification of Voters' Lists in Andhra Pradesh
Survey of Urban Polling Stations

Details of electoral rolls verified			Deletions Req'd (Errors of Commission)				Additions Req'd (Errors of Omission)				Variation		
District	No. of Polling Stns	No. of Voters	Shifting	Death	Other	Total	% of voters	Attained 18 years of Age	By shifting	Total	% of voters	Total	% of voters
Vizag	3	1298	355	22	17	394	30.4	82	413	495	38.1	889	68.5
E.Godavari	3	419	78	14	0	92	22.0	39	85	124	29.6	216	51.6
W.Godavari	2	1491	121	12		133	8.9	46	179	225	15.1	358	24.0
Krishna	3	1919	690	35	0	725	37.8	53	500	553	28.8	1278	66.6
Kurnool	3	1796	419	43	28	490	27.3	62	90	152	8.5	642	35.7
Nalgonda	1	797	273	5	2	280	35.1	34	125	159	19.9	439	55.1
Warangal	2	1863	320	28	30	378	20.3	43	74	117	6.3	495	26.6
Guntur	5	4060	1039	83	92	1214	29.9	209	604	813	20.0	2027	49.9
Hyderabad	5	4459	923	31	42	996	22.3	85	691	776	17.4	1772	39.7
Urban Total	27	18102	4218	273	211	4702	26.0	653	2761	3414	18.9	8116	44.8
Rural+Urban	56	40399	5852	761	395	7008	17.3	1100	3353	4453	11.0	11461	28.4

**Post-Polling Survey of Select Polling Station Areas
(1999 Assembly and Parliamentary Polls, Hyderabad, AP)**

Assembly Constituency Polling Booth No.,	No.of /voters	No.of votes polled	No.who reported that they actually 'voted'	No. not voted or doubtful cases				Percentage of doubtful and 'not voted' votes
				Residing in the area but not voted	Left the area but not residing the city	Left the city within country etc.	Total	
1	2	3	4	5	6	7	8	9
207/173	1143	625	483	5	91	46	142	22.7
207/176	956	459	377	15	41	26	82	17.9
209/93	725	428	306	20	95	7	122	28.5
209/75	989	495	380	42	72	1	115	23.2
210/426	893	476	399	22	49	6	77	16.2
Total	4706	2483	1945	104	348	86	538	21.7

Simple solutions

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Voter Registration

Post Office as Nodal
Agency

Voter Lists on Display

Voter Lists for Purchase

Statutory forms

Receipt of Application

Acknowledgement

Action taken intimation

Voter Identity Card

Polling Fraud

Repoll if tendered votes exceed 1%

Wide publicity to utilise tendered
vote

Political Parties

Why Regulation?

Political Parties

- Monopoly or oligopoly
- Represent history, memories, aspirations of millions
- Seek power over all people
- Cannot be easily formed or built
- People and members have no realistic alternatives
- Vehicles for political participation of citizens

Societies

- Free choice
- Mere organisations of convenience
- Pursue members' collective goals
- Can be formed and dissolved at will
- Members have multiple options
- Vehicles for voluntary pursuit of individual / group goals

Political Parties

What Regulation ?

Membership

- Free, open and voluntary
- Uniform, objective conditions / no restrictions
- No arbitrary expulsion
- Due process for disciplinary action

Leadership choice

- By regular, periodic, free and secret ballot
- Opportunity to challenge leadership through formal procedures with no risk of being penalised

Choice of Candidates

- By members at constituency level through secret ballot
- By elected delegates through secret ballot
- Central leadership cannot nominate candidates

Problems with Current Campaign Funding Laws

- Explanation 1 of Section 77 of R.P. Act, 1951
- No Public Auditing
- Weak Enforcement (Non- Existent)
- No Disclosure Norms
- No Penalties
- No Asset and Income Disclosures
- No incentive for Public Funding

Campaign Expenditure – India © K. S. TTA and US Comparison

Expenditure for Lok Sabha + all Assemblies – all parties + candidates

Estimated : Rs.2500 + Rs.4500 crores

Total : Rs.7000 crores = \$1.5 b

70-80% is for vote buying

US election expenditure in 2000

Presidency + House + 1/3 Senate + 1/3 governors

Estimated expenditure: (Soft + ~~issue ads~~ Hard) \$ 3 billion

80% is for TV advertising.

Actual campaign expenditure : 50% \$ 1.5 billion

Campaign Expenses – Vicious Cycle

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- Illegitimate expenses are often 5-10 times the ceiling or more

(Assembly ceiling: Rs 6 lakhs

Lok Sabha ceiling: Rs 15 lakhs)

- Every crore spent illegitimately



Rs 10 crore returns

(to cover ROR, Interest, personal upkeep, supporters, family's future, next election costs)



Rs 100 crore collected through bureaucracy

(for every legislator, there are 2000 employees who need to collect 'rent')



people suffer ten times more.

Payment extorted, on pain of delay, harassment, humiliation, anxiety and greater loss.

Political Funding

- Tax credits for funding
- Full and truthful disclosure by donor and party
- Severe penalties for violations
- Compulsory statutory auditing
- Election Commission final authority for determination of compliance
- Public funding indirect – free air time
- Direct public funding – non-discretionary and verifiable norms

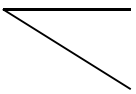
Political Funding

- Candidates income and assets disclosure
- Severe penalties for non-disclosure or false disclosure
 - fine ten times the amount
 - disqualification for 6 years
 - Donor's imprisonment for 6 months
 - Candidate's imprisonment for one year
 - Party's de-recognition
 - Office bearer's imprisonment for 3 years
- Reasonable ceilings
- Repeal of explanation under Section 77
- EC to be final authority on compliance and penalties
- Imprisonment by special tribunals

Electoral Funding

Possible Reforms

Public funding

Indirect – air time –  public channels
private channels

- more flexible use of time
- televised debates

Direct – only after other reforms are in place

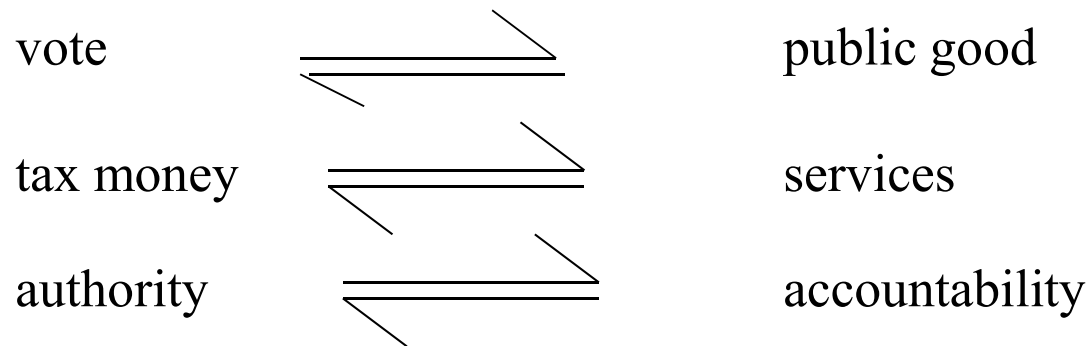
- non-discretionary and verifiable

A model:

- funding for all candidates
- a threshold of 10% votes in the constituency
- Rs 5 or 10 per vote polled
- parties to get 50% advance based on last election

Will vote buying disappear?

- not immediately
- people will continue to take money for voting
- candidates will spend personal money for sometime
- severe penalties will force disclosures
- local government empowerment will reduce vote buying



value of vote will then be far greater than the money offered

Criminalization

- Section 8 of RP Act not adequate
- Charges framed by magistrate
- Civil Society Pressure
- Transparency
- Media Exposure
- Disclosure of Prosecution, Charges, History Sheet, Rowdy Sheet etc.

What can Civil Servants Do?

- Fair appointment of polling officials
- Elimination of voter registration defects
- Voter Identity Cards
- Tendered Vote as proof of rigging
- Strict monitoring of polling
- Public Education
- Civil Society Participation

Policy Makers

- Legislation
 - Decriminalization
 - Accountable use of money
- Accessible voter registration
- Decentralization of Power

Policy Makers

- Rational Models for Womens Representation
- Shift towards clear separation of powers in States
- Shift towards Proportional representation
- Transparency Laws – party accounts
- Political Party Regulation